

APPROVED 27 APR 2006

FORM PTO-1390 (10/03)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER
0005.1017

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

10/577441

INTERNATIONAL APPLICATION NO.
PCT/KR2004/000827

INTERNATIONAL FILING DATE
April 9, 2004

PRIORITY DATE CLAIMED
November 17, 2003

TITLE OF INVENTION
OPTICAL PICKUP APPARATUS FOR MULTI RECORDING/REPRODUCING

APPLICANT(S) FOR DO/EO/US
Bong-gi KIM et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is an express request to immediately begin national examination procedures (35 U.S.C. 371(f)).
3. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
4. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
5. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
6. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
7. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
8. ☒ An oath or declaration of the inventor (35 U.S.C. 371(c)(4)).
9. ☐ A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

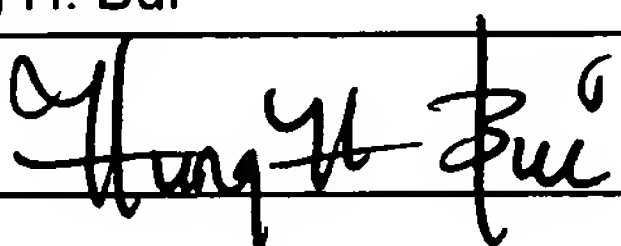
Items 10-15 below concern document(s) or information included:

10. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
11. ☒ An assignment document for recording.
Please mail the recorded assignment document to:
 - a. ☒ the person whose signature, name & address appears at the bottom of this document.
 - b. ☐ the following:
12. ☒ A preliminary amendment.
13. ☒ A substitute specification
14. ☐ A change of power of attorney and/or address letter.
15. ☒ Other items or information: Copies of the following papers:

PCT Publication No. WO 2005/048251 (including International Search Report).

10/577441

AP20 Rec'd PGTPTO 27 APR 2006

| <input checked="" type="checkbox"/> The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees as follows: | | | | | |
|---|---|---------------------|---------------------|-------------|------------------|
| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
| | TOTAL CLAIMS | 29 -20= | 9 | x \$ 50.00 | 450.00 |
| | INDEPENDENT CLAIMS | 3 -3= | 0 | x \$ 200.00 | 0.00 |
| | MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | +\$360.00 | 0.00 |
| | BASIC FILING FEE | | | | \$300.00 |
| | EXAMINATION FEE | | | | \$200.00 |
| | SEARCH FEE | | | | \$500.00 |
| | Surcharge Fee for Each 50 sheets over 100 (\$250) | | | | \$0.00 |
| | Total of above Calculations = | | | | \$ 1450.00 |
| | Surcharge of \$130 for furnishing the National fee or oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 mos. from the earliest claimed priority date (37 CFR 1.482(e)). | | | | 0.00 |
| | TOTAL OF ABOVE CALCULATIONS | | | | \$ 1450.00 |
| | Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed also. (Note 37 CFR 1.9, 1.27, 1.28.) | | | | |
| | SUBTOTAL | | | | \$ 1450.00 |
| | Processing fee of \$130 for furnishing the English Translation later than [] 20 [] 30 mos. from the earliest claimed priority date (37 CFR 1.482(f)). | | | | |
| | TOTAL NATIONAL FEE | | | | \$ 1450.00 |
| | Fee for recording the enclosed assignment (37 CFR 1.21(h)). | | | | + \$40.00 |
| | TOTAL FEES ENCLOSED | | | | \$ 1490.00 |
| <p>a. <input type="checkbox"/> A check in the amount of \$ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Credit Card Payment Form, Form PTO-2038 (attached).</p> <p>c. <input type="checkbox"/> Please charge my Deposit Account No. 503333 in the Amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>d. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 503333. A duplicate copy of this sheet is enclosed.</p> <p style="text-align: center;">STEIN, MCEWEN & BUI, LLP 49,455 PATENT TRADEMARK OFFICE</p> | | | | | |
| SUBMITTED BY: STEIN, MCEWEN & BUI, LLP | | | | | |
| Type Name | Hung H. Bui | | | Reg. No. | 40,415 |
| Signature |  | | | Date | 4/27/06 |